Dkt. 43016-D/JPW/AN

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip O. Livingston and Friedhelm Heblir

Serial No. : 08/477,147

JUN 1 1 2001

Filed

June 7, 1995

TECH CENTER 1600/2900

For

GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

1185 Avenue of the Americas New York, New York 10036

February 20, 2001

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

## SECOND COMMUNICATION REQUESTING CORRECTED FILING RECEIPT

This Communication is filed to request a corrected Filing Receipt in connection with the above-identified application. Upon Receipt of the official Filing Receipt for the subject application, and copy of which is attached hereto as Exhibit A, applicants undersigned attorney noticed errors.

Specifically, the title and the number of total and independent claims are incorrect for the subject application.

The title on a corrected Filing Receipt should read as follows:

-- GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21--

Applicants contend that the correct title may be found in the

Applicants

Philip O. Livingston and Friedhelm Helling

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Page 2

Declaration and Power of Attorney, which was filed with the subject application on September 1, 1995, a copy of which is attached hereto as Exhibit B.

Also, the number of total claims should read 9 instead of 22, and the number of independent claims should read 2 instead of 1. Accordingly, applicants request that a corrected Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Service Center Washington, D.C. Customer

John P. White

Reg. No. 28,678

Spencer H. Schneider

Reg. No. 45,923

John\P.\ White

Registration No. 28,678 Attorneys for Applicant(s) Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

TO-103X Rev. 6-99)

FILING RECEIPT



## NITED STATES DEPARTMENT OF COMMERCE atent and Trademark Office SSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Vashingt n, D.C. 20231

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL LE REC'D	ATTORNEY DOCKET NO	D. DRWGS	TOT CL	IND CL
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JOHN P WHITE COOPER & DUNHAM 1185 AVENUE OF THE AMERICAS NEW YORK NY 10036

## RECEIVED

AUG - 4 1999

JUN 1 1 2001:

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with he changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") In this application, please sult with any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

PHILIP O. LIVINGSTON, NEW YORK, NY; FRIEDHELM HELLING, NEW YORK, NY.

CONTINUING DATA AS CLAIMED BY APPLICANT-THIS APPLN IS A 371 OF PCT/US94/00757 01/21/94 AND A CIP OF 08/009,268 01/22/93 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/05/95 TITLE GANGLIOSIDE-KLH CONJUGATE VACCINES PLUS OS-21

PRELIMINARY CLASS: 424

DATA ENTRY BY: WILSON, PAMELLA

TEAM: 12 DATE: 07/22/99

A LEGANT NODEL MARKA BARBE LEGAN BOLDE LEGAL BORN BORN HERBY HERBY HERBY BORN BORN BORN BORN BORNE HERBY HER

(See reverse for new impostant information)



As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GANGLIOSLIDE-KLH CONJUGATE VACCINE PLUS QS-21

the specification of (check one)	which		RECEIVED
	is attached heret	o.	EIN EIN
	X was filed on J	une 7, 1995	F B as
	Application Serial No.	08/477,147	8
	and was amended on _	(if applicable)	<u> </u>
identified specifical to above.	tion, including the clas	i understand the content ms, as amended by any as	mentment tegetter
l acknowledge the d to the examination Regulations, Section	of this application in a	tion of which I am aware accordance with Title 37	which is material .Code of Federal
of any foreign appli	cation(s) for patent or (	er Title 35, United States inventor's certificate list n for patent or inventor's n on which priority is cl	certificate having
Prior Foreign Appli	cation(s)	Filing Date	Priority Claimed Yes <u>No</u>
Number	Country	Table Date	
N/A			





I hereby claim the benefit under Title 35. United States Code. Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code. Section 112. I acknowledge the duty to disclose material information as defined in Title 37. Code of Federal Regulations. Sections 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

	Filing De	316	<u>Status</u>
Application Serial No. PCT/US94/00757	January 21	, 1994	pending
08/009,268	January 22	, 1993	atandoned
and I hereby appoint			
Robert D. Katz (Reg. No. 30 Richard S. Milner (Reg. No. 11)	25,385); Ivan S. . No. 22,031); T ),141); Peter J. . 33,970); Matth	Kavruko homas G. Phillip ew J. Go stina L.	v (Reg. No. 25,161); Carulli (Reg. No. 30,616); s (Reg. No. 29,691);
York, New York 10036 my o prosecute this application to	attorneys, each wit make alterations an Potent and Tradem	h Juli pow d amendn ark Offic	Avenue of the Americas, New ver of substitution and revocation nents therein, to receive the patent e connected therewith and to file der the provisions of the Patent
Please address all communication	ns. and direct all to	elephone c	alls, regarding this application to
John P. White			Reg. No. 28,678
Cooper & Dunham 1185 Avenue of the A New York, New York	10036		
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New York, New York  Thereby declare that all statements made on information statements were made with the are punishable by fine or imprintstates Code and that such wapplication or any patent issued Full name of sole or Philifirst joint inventor  [Inventor's signature	nents made herein of and belief are to knowledge that with sonment, or both, utiliful false statem thereon.  p Ordway Livings	obelieved in ill ful false inder Section in interest may be ston in interest. The ston in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The stone in interest may be stone in interest. The sto	statements and the like so made on 1001 of Title 18 of the United jeopardize the validity of the state of the

